

PTO/SB/08A (04-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number	10/658,163
Filing Date	09/09/2003
First Named Inventor	Hoese, Geoffrey
Group Art Unit	2182
Examiner Name	Shin, Christopher B.
Attorney Docket Number	CROSS1120-13

Sheet 1

OF

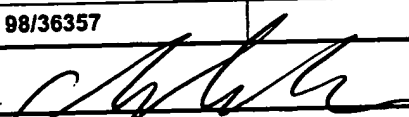
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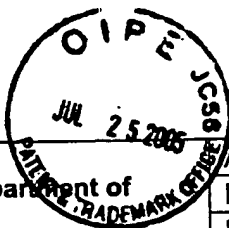
Examiner Initials	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
		Number	Kind Code (if known)			
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2	B1	GB 2341715				
	B2	JP 6301607				
	B3	WO 98/36357		1998		
Examiner Signature				Date Considered	10-25-05	


**FORM PTO 1449 US Department of
Commerce
Patent and Trademark Office**





Application Number	10/658,163
Filing Date	09/09/2003
First Named Inventor	Geoffrey B. Hoes
Group Art Unit	2182
Examiner Name	Shin, Christopher B.
Atty Docket Number	CROSS1120-13



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Examiner Initials	Cite No.	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	Date
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	C2	Block-Based Distributed File Systems, Anthony J. McGregor, July 1997	
	C3	Compaq StorageWorks HSG80 Array Controller ACS Version 8.3 (Maintenance and Service Guide) 11/98	
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	C5	CRD-5500 SCSI RAID Controller User's Manual CMD Technology, Inc. pp. 1-1 to 6-25, revised November 21, 1996.	11/21/1996
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Sheet	2	of	7	Atty Docket Number	CROSS1120-13
Examiner Initials	Cite No.	OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			Date
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	C35	Office Action dated 01/21/03 for 10/174,720 (CROSS1120-8)			1/21/2003
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	C39	Office Action dated 11/06/02 for 10/023,786 (CROSS1120-4)			11/6/2002
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	C41	Office Action in Ex Parte Reexamination 90/007,127, mailed 02/07/05.			2/7/2005
	C42	Reply to Office Action Under Ex Parte Reexamination Dated 02/02/07 for 90/007,127 filed on 04/06/05.			
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Sheet	3	of	7	Atty Docket Number	CROSS1120-13
Examiner Initials	Cite No.	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			Date
	C50	Reply to Office Action Under Ex Parte Reexamination Dated 02/02/07 for 90/007,123 filed on 04/05/05.			
	C51	European Office Action issued April 1, 2004 in Application No. 98966104.6-2413			4/1/2004
	C52	Fiber Channel (FCS)/ATM Interworking: A Design Solution by Anzaloni, et al.			
		Copies of the following are on the attached CD-Rom			
	C53	Defendant's First Supplemental Trial Exhibit List, Crossroads Systems, Inc., v. Chaparral Network Storage, Inc., C.A. No. A-00CA-217-SS (W.D. Tex. 2001). (CD-Rom) .			
	C54	Defendant's Third Supplemental Trial Exhibit List, Crossroads Systems, Inc. v. Pathlight Technology, Inc., C.A. No. A-00CA-248-SS (W.D. Tex. 2001) (CD-Rom) .			
	C55	Defendant Chaparral Network Storage, Inc.'s First Supplemental Trial Exhibit List (D1 through D271) (CD-ROM Chaparral Exhibits ExList Def) .			9/2/2001
	C56	Plaintiff's Fourth Amended Trail Exhibit List, Crossroads Systems, Inc. v. Chaparral Network Storage, Inc, C.A. No. A-00CA-217-SS (W.D. Tex. 2001) (CD-Rom) .			9/11/2001
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	C58	Trail Transcripts, Crossroads Systems, Inc. v. Chaparral Network Storage, Inc., C.A. No. A-00CA-217-SS (W.D. Tex. 2001) (CD-Rom) .			
	C59	Trail Transcripts, Crossroads Systems, Inc. v. Pathlight Technology, Inc., C.A. No. A-00CA-248-SS (W.D. Tex. 2001). (CD-Rom) .			

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	C60	Datasheet for CrossPoint 4100 Fibre Channel to SCSI Router (Dedek Ex 41 (ANCT 117-120)) (CD-ROM Chaparral Exhibits D012).			
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	C66	Bridge. C, Bridge Between SCSI-2 and SCSI-3 FCP (Fibre Channel Protocol) (CD-ROM Chaparral Exhibits P214).			
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	C68	Attendees/Action Items from 4/12/96 Meeting at BTC (Lavan Ex 3 (CNS 182241)) (CD-ROM Chaparral Exhibits D023).			4/12/1996
	C69	Brooklyn Hardware Engineering Requirements Documents, Revision 1.4 (Lavan Ex 4 (CNS 178188-211)) (CD-ROM Chaparral Exhibits D024) by Pecone.			5/26/1996
	C70	Brooklyn Single-Ended SCSI RAID Bridge Controller Hardware OEM Manual, Revision 2.1 (Lavan EX 5 (CNS 177169-191)) (CD-ROM Chaparral Exhibits D025).			3/21/1996
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	C72	ESS/FPG Organization (Lavan Ex 8 (CNS 178639-652)) (CD-ROM Chaparral Exhibits D028).			12/6/1996
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	C77	Coronado II, AEC-7312A Fibre Channel Daughter (for Brooklyn) Hardware Specification, Revision 1.2 (Lavan Ex 16 (CNS 177192-210)) (CD-ROM Chaparral Exhibits D036) by Tom Yang.			7/18/1997
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	C80	Brooklyn Main Board (AES-0302) MES Schedule (Lavan Ex 19 (CNS 177759-763)) (CD-ROM Chaparral Exhibits D039).			2/11/1997
	C81	News Release-Adaptec Adds Fibre Channel Option to its External RAID Controller Family (Lavan Ex 20 (CNS 182932-934)) (CD-ROM Chaparral Exhibits D040).			5/6/1997
	C82	AEC-4412B/7412B User's Guide, Rev. A (Lavan Ex 21) (CD-ROM Chaparral Exhibits D041).			6/19/1905
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	C84	Data Book- AIC-1160 Fibre Channel Host Adapter ASIC (Davies Ex 2 (CNS 181800-825)) (CD-ROM Chaparral Exhibits D047).			6/18/1905
	C85	Viking RAID Software (Davies Ex 3 (CNS 180969-181026)) (CD-ROM Chaparral Exhibits D048).			6/18/1905
	C86	Header File with Structure Definitions (Davies Ex 4 (CNS 180009-018)) (CD-ROM Chaparral Exhibits D049).			8/8/1996
	C87	C++ SourceCode for the SCSI Command Handler (Davies Ex 5 (CNS 179136-168)) (CD-ROM Chaparral Exhibits D050).			8/8/1996
	C88	Header File Data Structure (Davies Ex 6 (CNS 179997-180008)) (CD-ROM Chaparral Exhibits D051).			1/2/1997
	C89	SCSI Command Handler (Davies Ex 7 (CNS 179676-719)) (CD-ROM Chaparral Exhibits D052).			1/2/1997
	C90	Coronado: Fibre Channel to SCSI Intelligent RAID Controller Product Brief (Kalwitz Ex 1 (CNS 182804-805)) (CD-ROM Chaparral Exhibits D053).			
	C91	Bill of Material (Kalwitz Ex 2 (CNS 181632-633)) (CD-ROM Chaparral Exhibits D054).			3/17/1997
	C92	Emails Dated 1/13-3/31/97 from P. Collins to Mo re: Status Reports (Kalwitz Ex 3 (CNS 182501-511)) (CD-ROM Chaparral Exhibits D055).			
C93	Hardware Schematics for the Fibre Channel Daughtercard Coronado (Kalwitz Ex 4 (CNS 181639-648)) (CD-ROM Chaparral Exhibits D056).				
C94	Adaptec Schematics re AAC-340 (Kalwitz Ex 14 CNS 177215-251)) (CD-ROM Chaparral Exhibits D057).				
C95	Bridge Product Line Review (Manzanares Ex 3 (CNS 177307-336)) (CD-ROM Chaparral Exhibits D058).				
	C96	AEC Bridge Series Products-Adaptec External Controller RAID Products Pre-Release Draft, v.6 (Manzanares Ex 4 (CNS 174632-653)). (CD-ROM Chaparral Exhibits D059).			10/28/1997

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			Filing Date	09/09/2003	
			First Named Inventor	Geoffrey B. Hoese	
			Group Art Unit	2182	
			Examiner Name	Shin, Christopher B.	
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Examiner Initials	Cite No.	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			Date
	C97	Hewlett-Packard Roseville Site Property Pass for Brian Smith (Dunning Ex 14 (HP 489) (CD-ROM Chaparral Exhibits D078).			11/7/1996
	C98	Distribution Agreement Between Hewlett-Packard and Crossroads (Dunning Ex 15 (HP 326-33) (CD-ROM Chaparral Exhibits D079).			
	C99	HPFC-5000 Tachyon User's Manuel, First Edition (PTI 172419-839) (CD-ROM Chaparral Exhibits D084).			5/1/1996
	C100	X3T10 994D - (Draft) Information Technology: SCSI-3 Architecture Model, Rev. 1.8 (PTI 165977) (CD-ROM Chaparral Exhibits D087).			
	C101	X3T10 Project 1047D: Information Technology- SCSI-3 Controller Commands (SCC), Rev, 6c (PTI 166400-546) (CD-ROM Chaparral Exhibits D088).			9/3/1996
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	C103	VBAR Volume Backup and Restore (CRDS 12200-202) (CD-ROM Chaparral Exhibits D099).			
	C104	Preliminary Product Literature for Infinity Commstor's Fibre Channel to SCSI Protocol Bridge (Smith Ex 11; Quisenberry Ex 31 (SPLO 428-30) (CD-ROM Chaparral Exhibits D143).			8/19/1996
	C105	Letter dated 7/12/96 from J. Boykin to B. Smith re: Purchase Order for Evaluation Units from Crossroads (Smith Ex 24) CRDS 8556-57) (CD-ROM Chaparral Exhibits D144).			7/12/1996
	C106	CrossPoint 4100 Fibre Channel to SCSI Router Preliminary Datasheet (Hulsey Ex 9 (CRDS 16129-130)) (CD-ROM Chaparral Exhibits D145).			11/1/1996
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	C108	Fax Dated 07/22/96 from L. Petti to B. Smith re: Purchase Order from Data General for FC2S Fibre to Channel SCSI Protocol Bridge Model 11 (Smith Ex 25; Quisenberry Ex 23; Bardach Ex 11 (CRDS 8552-55; 8558) (CD-ROM Chaparral Exhibits D155).			
	C109	Email Dated 12/20/96 from J. Boykin to B. Smith re: Purchase Order for Betas in February and March (Hoese Ex 16, Quisenberry Ex 25; Bardach Ex 12 (CRDS 13644-650) (CD-ROM Chaparral Exhibits D156).			
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C111	Fax Dated 12/19/96 from B. Bardach to T. Rarich re: Purchase Order Information (Bardach Ex. 14; Smith Ex 16 (CRDS 4460)) (CD-ROM Chaparral Exhibits D158).				
C112	Miscellaneous Documents Regarding Comdex (Quisenberry Ex 2 (CRDS 27415-465)) (CD-ROM Chaparral Exhibits D165).				
C113	CrossPoint 4100 Fibre Channel to SCSI Router Preliminary Datasheet (Quisenberry) Ex 3 (CRDS 4933-34) (CD-ROM Chaparral Exhibits D166) (CD-ROM Chaparral Exhibits D166).				

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Examiner Initials	Cite No.	OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			Date
CS	C114	CrossPoint 4400 Fibre to Channel to SCSI Router Preliminary Datasheet; Crossroads Company and Product Overview (Quisenberry Ex 4 (CRDS 25606; 16136)) (CD-ROM Chaparral Exhibits D167).			
	C115	Crossroads Purchase Order Log (Quisenberry Ex 9 (CRDS 14061-062)) (CD-ROM Chaparral Exhibits D172).			
	C116	RAID Manager 5 with RDAC 5 for UNIX V.4 User's Guide (LSI-01854) (CD-ROM Chaparral Exhibits P062).			9/1/1996
	C117	Letter dated May 12, 1997 from Alan G. Leal to Barbara Bardach enclosing the original OEM License and Purchase Agreement between Hewlett-Packard Company and Crossroads Systems, Inc. (CRDS 02057) (CD-ROM Chaparral Exhibits P130).			
	C118	CR4x00 Product Specification (CRDS 43929) (CD-ROM Chaparral Exhibits P267).			6/1/1998
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	C120	Report of the Working Group on Storage I/O for Large Scale Computing; Department of Computer Science Duke University: CS-1996-21 (PTI 173330-347). (CD-ROM Pathlight Exhibits D098).			
	C121	Brian Allison's 1999 Third Quarter Sales Plan (PDX 38) CNS 022120-132)) (CD-ROM Pathlight Exhibits D201).			6/5/2001
✓	C122	Brooklyn SCSI-SCSI Intelligent External RAID Bridge Definition Phase External Documentation (CD-ROM Pathlight Exhibits D129).			
Examiner Signature					Date Considered 